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02/19/02

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SUBSTITUTE PTO/SB/05 (11-00)  
Approved for use through 10/31/2002.

Patent and Trademark Office: U S. DEPARTMENT OF COMMERCE

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- |   |  |
|---|--|
| 1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g., PTO/SB/17)  | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  |
| 2. <input type="checkbox"/> Applicant claims small entity status<br>(See 37 CFR 1.27)   | 8. Nucleotide and/or Amino Acid Sequence Submission<br>(if applicable, all necessary)  |
| 3. <input checked="" type="checkbox"/> Specification [ Total Pages <input type="text" value="17"/> ]<br><i>(Preferred arrangement set forth below)</i>                              | a. <input type="checkbox"/> Computer Readable Form (CRF)   |
| - Descriptive title of the invention  | b. Specification Sequence Listing on:  |
| - Cross Reference to Related Applications   | i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or  |
| - Statement Regarding Fed sponsored R&D   | ii. <input type="checkbox"/> Paper   |
| - Reference to sequence listing, a table, or a computer program listing appendix  | c. <input type="checkbox"/> Statements verifying identity of above copies  |
| - Background of the Invention   |  |
| - Brief Summary of the Invention  |  |
| - Detailed Description  |  |
| - Claim(s)  |  |
| - Abstract of the Disclosure  |  |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [ Total Sheets <input type="text" value="3"/> ]   | 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))  |
| 5. <input type="checkbox"/> Oath or Declaration [ Total Pages <input type="text" value="2"/> ]  | 10. <input type="checkbox"/> 37 CFR 1.373(b) Statement <input checked="" type="checkbox"/> Power of Attorney   |
| a. <input checked="" type="checkbox"/> Newly executed (original or copy)  | 11. <input type="checkbox"/> English Translation Document (if applicable)  |
| b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br><i>(for continuation/divisional with Box 18 completed)</i>  | 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS) PTO-1449 <input type="checkbox"/> Copies of IDS Citations                   |
| i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br><br>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | 13. <input type="checkbox"/> Preliminary Amendment   |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76   | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(should be itemized)</i>   |
| 17. <input type="checkbox"/> Other: _____   | 15. <input type="checkbox"/> Certified Copy of Priority Document(s)  |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:  | 16. <input checked="" type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach for PTO/SB/35 or its equivalent. |

 Continuation     Divisional     Continuation in Part (CIP)    of prior application No: \_\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

**CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	000026902 <i>(Insert Customer No. or Attach bar code label here)</i>	or <input type="checkbox"/> Correspondence address below
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Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print / Type)	Charles E. Bricker	Registration No. (Attorney / Agent)	26,715
Signature:	<i>Charles E. Bricker</i>		Date 1/23/02

## FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT (\$ 740

METHOD OF PAYMENT (check one)

- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

AF 01-0465

Deposit Account Name

Department of the Air Force

Charge Any Additional Fee Required under 37 CFR 1.16 and 1.17       Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)

2.  Payment Enclosed:

Check     Money Order     Other

## FEE CALCULATION (fees effective 10/01/2000)

## 1. FILING FEE

Lge Entity Fee Code	Lge Entity Fee (\$)	Sm. Entity Fee Code	Sm. Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility Filing Fee	740
106	330	206	195	Design Filing Fee	
107	510	207	255	Plant Filing Fee	
108	740	208	370	Reissue Filing Fee	
114	160	214	60	Provisional Filing Fee	
SUBTOTAL (1) (\$)					740

## 2. CLAIMS

Total Claims	Extra	Fee from below	Fee Paid
11	- 20 =	0 X 18 =	0
2	- 3 =	0 X 84 =	0

Multiple dependent claims

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103	18	203
102	84	202
104	270	204
109	84	209
110	18	210

Claims in excess of 20  
Independent claims in excess of 3  
Multiple dependent claim  
Reissue independent claims over original patent  
Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)	
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Complete if Known					
Application Number					
Filing Date					
First Named Inventor		Jim C. Spain			
Group Art Unit					
Examiner Name					
TOTAL AMOUNT OF PAYMENT		(\$ 740)	Attorney Docket Number		AFD471
FEE CALCULATION (continued)					
3. ADDITIONAL FEES					
Large Entity Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	
105	130	130	Surcharge - late filing fee or oath		
127	50	25	Surcharge - late provisional filing fee or cover sheet		
147	2,520	1,260	For filing a request for reexamination		
112	920*	460	Requesting publication of SIR prior to Examiner Action		
113	1,840*	920*	Requesting publication of SIR after Examiner action		
115	110	55	Extension for response within first month		
116	400	200	Extension for response within second month		
117	920	460	Extension for response within third month		
118	1,440	720	Extension for response within fourth month		
119	320	160	Notice of Appeal		
120	320	160	Filing a brief in support of an appeal		
121	280	140	Request for oral hearing		
138	1,510	755	Petition to institute a public use proceeding		
140	110	55	Petition to revive unavoidably abandoned application		
141	1,280	640	Petition to revive unintentionally abandoned application		
142	1,280	640	Utility issue fee (or reissue)		
143	460	230	Design issue fee		
144	460	230	\$ 112.48, 200		
122	130	65	Petitions to the Commissioner		
123	50	25	Petitions related to provisional applications		
126	180	90	Submission of Information Disclosure Statement		
581	40	20	Recording each patent assignment per property		
146	740	370	Filing a submission after final rejection (37 CFR 1.129(a))		
149	740	370	For each additional invention to be examined (37 CFR 1.129(b))		
Other fee (specify) _____				SUBTOTAL (3) (\$)	
Other fee (specify) _____				0	

\*Reduced by Basic Filing Fee Paid

Complete if Known

SUBMITTED BY

Typed or Printed Name

Charles E. Bricker

Reg. Number

26,715

Signature

Charles E. Bricker

Deposit Account User ID

**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Jim C. Spain
Title	BIOLOGICAL PROCESS FOR THE PRODUCTION OF ORTHO-AMINOPHENOLS FROM NITROAROMATIC COMPOUNDS
Atty Docket Number	AFD471

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 1.22(b).

1/23/02

Date

  
\_\_\_\_\_  
Signature

Charles E. Bricker  
\_\_\_\_\_  
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and be submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

2240 B STREET  
WRIGHT-PATTERSON AFB OH 45433-7109

OFFICIAL BUSINESS



AFMC LOJAZ

BOX PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON DC 20231